

## Disclosure of investor complaints by Rudra Shares & Stock Brokers Limited

Annexure B

Data for every month ending - **MARCH - 2026**

S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution on time^ (in days)	
						Pending for less than 3 months	Pending for more than 3 months		
1	2	3	4	5	6	7		8	
1	Directly from investors	0	0	0	0	0	0	0	0
2	SEBI (SCORES 2.0)	4	2	2	4	2	0	0	0
3	Stock Exchanges	1	0	1	0	0	1	NA (APPL U/P in Kanpur Court)	
4	Other Sources (if any)	2	0	2	0	0	2	NA (APPL U/P in Jamshedpur Court)	
5	<b>Grand Total</b>	<b>7</b>	<b>2</b>	<b>5</b>	<b>4</b>	<b>2</b>	<b>3</b>	...	

### Trend of monthly disposal of complaints

S N	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April-2025	3	0	0	3
2	May-2025	3	1	1	3
3	June-2025	3	0	0	3
4	July-2025	3	0	0	3
5	August-2025	3	0	0	3
6	September-2025	3	0	0	3
7	October-2025	3	0	0	3
8	November-2025	3	2	2	3
9	December-2025	3	3	3	3
10	January-2026	3	1	0	4
11	February-2026	4	6	3	7
12	March-2026	7	2	4	5
	<b>Grand Total</b>		<b>15</b>	<b>13</b>	<b>5</b>

\*Should include complaints of previous months resolved in the current month, if any.

\*\* Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

#### Trend of annual disposal of complaints

S N	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2018-19	0	0	0	0
2	2019-20	0	0	0	0
3	2020-21	0	1	0	1
4	2021-22	1	8	7	2
5	2022-23	2	3	4	1
6	2023-24	1	3	3	1
7	2024-25	1	17	15	3
8	2025-26	3	15	13	7
	<b>Grand Total</b>		<b>47</b>	<b>42</b>	